

08-06-01

Page 1 of 1

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,365	01/22/2001	Sheng Liang	22271-05227



758
 FENWICK & WEST LLP
 TWO PALO ALTO SQUARE
 PALO ALTO, CA 94306

CONFIRMATION NO. 2538

FORMALITIES LETTER



OC000000006335892

Date Mailed: 07/25/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

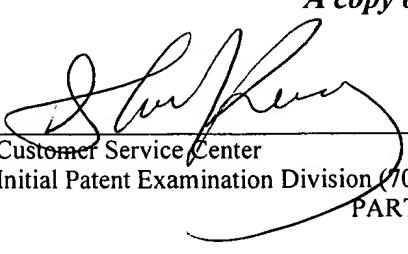
The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): Sheng Liang *et al.*

APPLICATION NO.: 09/767,365

FILING DATE: January 22, 2001

TITLE: SCALABLE DERIVATIVE SERVICES

EXAMINER: Unknown

GROUP ART UNIT: 2151

ATTY. DKT. NO.: 22271-05227

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10 in an envelope addressed to: Box Provisional Patent Application, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. EXPRESS MAIL NO: EL541496382US.

Dated: 2 August 2001By: D. Brownstone
Daniel R. Brownstone, Reg. No.: 46,581

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

SIR:

Responsive to Notice to File Missing Parts dated March 2, 2001 and the Notice of Incomplete Reply received in the above-identified patent application,

Enclosed are:

- Copy of the Notice of Incomplete Reply;
- Eight (8) sheets substitute drawings (Figs 1- 8);
- Payment in the amount of \$250.00 for the additional extension of time fee;
- Copy of the original request for a two month extension of time to respond to the Notice of Missing Parts and payment of fee.

08/08/2001 BABRAHA1 00000069 09767365

01 FC:217

250.00 0P

Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
SHENG LIANG, HONG ZHANG, ABHISHEK
CHAUHAN, RAJIV MIRANI AND OLIVER
CHANG

Dated: 2 Aug 2001.

By: D. Brownstone
Daniel R. Brownstone, Reg. No.: 46,581
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
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22271/05227/SF/5055229.1

EXPRESS MAIL NO: EL541496322US

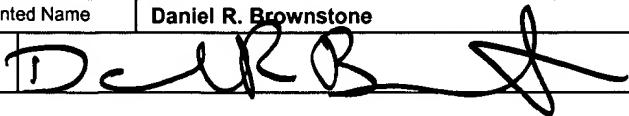


PTO/SB/17 (10-00)(modified)

Approved for use through 09/29/01, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	<i>Complete if Known</i>	
FEETRANSMITTAL		Application Number	09/767,365
TOTAL AMOUNT OF PAYMENT		Filing Date	January 22, 2001
Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$250.00)		First Named Inventor	Sheng Liang et al.
		Group Art Unit	2151
		Examiner Name	Unknown
		Attorney Docket Number	22271-05227

METHOD OF PAYMENT		FEETRANSMITTAL (continued)																																																																																																																																																														
1. The Commissioner is hereby authorized to: <p><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†]</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th><u>Large Entity</u></th> <th><u>Small Entity</u></th> <th></th> <th></th> </tr> <tr> <th>Fee Code/Fee</th> <th>Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month[†]</td> <td></td> </tr> <tr> <td>116/\$390</td> <td>216/\$195</td> <td>Extension for response within second month[†]</td> <td></td> </tr> <tr> <td>117/\$890</td> <td>217/\$445</td> <td>Extension for response within third month[†]</td> <td></td> </tr> <tr> <td>118/\$1,390</td> <td>218/\$695</td> <td>Extension for response within fourth month[†]</td> <td></td> </tr> <tr> <td>128/\$1,890</td> <td>228/\$945</td> <td>Extension for response within fifth month[†]</td> <td></td> </tr> <tr> <td>119/\$310</td> <td>219/\$155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>141/\$1,240</td> <td>241/\$620</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142/\$1,240</td> <td>242/\$620</td> <td>Utility Issue Fee (Or Reissue)</td> <td></td> </tr> <tr> <td>143/\$440</td> <td>243/\$220</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>179/\$710</td> <td>279/\$355</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146/\$710</td> <td>246/\$355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149/\$710</td> <td>249/\$355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td colspan="2">Additional extension fee for Response to Notice of Incomplete Reply. 250</td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td colspan="2"></td> </tr> <tr> <td colspan="2"></td> <td colspan="3" style="text-align: right;">SUBTOTAL (3) (\$250.)</td> </tr> <tr> <td colspan="2"> 2. 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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Daniel R. Brownstone	Reg. Number	46,581
Signature		Date	2 Aug 2001